

10/19/01  
jcb43 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

Our Docket No.: 7214.07 Date: October 19, 2001

First Named Inventor: Charles N. Serhan

Title: Regulation of Phospholipase D Activity

Express Mail No.: EL832025195US

10/19/01  
jcb43 U.S. PTO

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

## APPLICATION ELEMENTS

1.  Fee Calculation Sheet  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status
3.  Specification Total Pages: 80
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed. Sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.  Drawings (35 U.S.C. 113) Total Sheets: 3
5.  Oath or Declaration Total Pages:
  - a.  Newly Executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. 1.63(d))  
(for continuation/divisional with Box 19 completed)
    - I.  DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application  
(see 37 C.F.R. 1.63(d)(2) and 1.33(b))
6.  Application Data Sheet (37 C.F.R. 1.76)

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.  Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b.  Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identify of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Certification Under 35 U.S.C. 122(b)(2)(B)(i)
10.  Assignment Papers (cover sheet & document(s))
11.  37 C.F.R. 3.73(b) Submission
12.  Power of Attorney
13.  English Translation Document (if applicable)
14.  Information Disclosure Statement  
(with Copies of Citations as necessary)
15.  Preliminary Amendment Total Pages: 57
16.  Return Receipt Postcard  
(Should be specifically itemized)
17.  Certified Copy of Priority document(s)  
(If foreign priority is claimed)
18.  Other Check in the amount of \$1,244.00 and copy of Revocation of Power of Attorney and Appointment of New Agent

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation     Division     Continuation-in-part (CIP)    of prior Application No.: 09/525,157

For continuation or divisional applications: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.

## CORRESPONDENCE ADDRESS

ATTY NAME	Scott D. Rothenberger Reg. No. 41,277	SIGNATURE: <i>Scott D. Rothenberger</i>	DATE: October 19, 2001
ADDRESS	DORSEY & WHITNEY LLP Suite 1500 50 South Sixth Street Minneapolis, MN 55402-1498	Customer Number: 25763	
TELEPHONE	612-340-8819		
FAX	612-340-8856		

**APPLICATION  
FEE TRANSMITTAL SHEET  
(FOR FY 2001)**

*Complete if Known*

Application No.	Not assigned yet
Filing Date	Herewith
First Named Inventor	Charles N. Serhan
Group Art Unit	
Examiner Name	
Atty. Docket Number	7214.07

10/19/01  
JC932 U.S. PRO 10/04/2001

**METHOD OF PAYMENT (Check One)**

Payment Amount  
Add (1), (2) & (3)

1. DEPOSIT ACCOUNT	Deposit Account No.: <b>04-1420</b> Deposit Account Name: <b>DORSEY &amp; WHITNEY LLP</b>
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Charge any additional fee required under 37 C.F.R. 1.16 and 1.17  
 Applicant claims small entity status (see 37 C.F.R. 1.27)

2. <input checked="" type="checkbox"/> CHECK ENCLOSED in the amount of	\$1,244.00
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**APPLICATION FILING FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 740	201 370	Utility Filing Fee	\$740.00
114 160	214 80	Provisional Filing Fee	
106 330	206 165	Design Filing Fee	
510	255	Plant Filing Fee	
108 740	208 370	Reissue Filing Fee	
		Subtotal (1)	\$740.00

**EXTRA CLAIMS FEES**

Total	Total Claims	Prior Paid	= Extra	x Fee from Below*	Fee Paid
	17		0		
Indep.	9		6	\$84.00	\$504.00
Multiple dependent					
				Subtotal (2)	\$504.00

\*Calculation of Extra Claims Fees

Large Entity	Small Entity	Fee Description
Code (\$)	Code (\$)	
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claims
109 84	209 42	Reissue independent claims over original patent
110 18	210 9	Reissue claims in excess of 20 and over original patent

**ADDITIONAL FEES**

Large Entity	Small Entity	Description	Fee Paid	Large Entity	Small Entity	Description	Fee Paid
Code (\$)	Code (\$)			Code (\$)	Code (\$)		
115 110	215 55	Extension for reply within first month		581 40	81 40	Recording each patent assignment per property (times number of properties)	
116 400	216 200	Extension for reply within second month		127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
117 920	217 460	Extension for reply within third month		105 130	205 65	Surcharge-Late filing fee or oath Non-provisional	
118 1,440	218 720	Extension for reply within fourth month		195 300	195 300	Publication fee for early, voluntary, or normal publication	
128 1,960	280 980	Extension for reply within fifth month		196 300	196 300	Publication fee for republication	
126 180	126 180	Submission of IDS		148 110	248 55	Statutory Disclaimer	
122 130	122 130	Petitions to the Commissioner		179 740	279 370	(RCE) Request for Continued Examination	
123 50	123 50	Petitions related to provisional applications					

**ISSUE FEES**

Large Entity	Small Entity	Description	Fee Paid	Large Entity	Small Entity	Description	Fee Paid
Code (\$)	Code (\$)			Code (\$)	Code (\$)		
142 1,280	242 640	Utility/Reissue issue fee (including advance copies)		119 320	219 160	Notice of Appeal	
143 460	243 230	Design		120 320	220 160	Filing a brief in support of an appeal	
		Plant		121 280	221 140	Request for oral hearing	
145 100	145 100	Certificate of Correction				Subtotal (3)	

Submitted by:

Name: Scott D. Rothenberger      Reg. No.: 41,277      Telephone: (612) 340-8819

Signature: *Scott D. Rothenberger*      Date: October 19, 2001

01-10-02

Docket: 7214.07

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/19/01  
JC932 U.S. PRO

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First Named Inventor:	Charles N. Serhan
Application No.:	Not assigned yet
Filing Date:	Herewith
Title:	Regulation of Phospholipase D Activity

Examiner:  
Group Art Unit:

TRANSMITTAL LETTER

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Express Mail mailing label number EL832025195US

Date of Deposit October 19, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231

Brian Ballard  
(Name)  
(Signature)

Dear Sir:

The following documents are enclosed for filing the above-identified patent application:

1. Utility Patent Application Transmittal
2. Application Fee Transmittal Sheet
3. Application Data Sheet
4. Preliminary Amendment
5. Copy of Specification, Claims, and Abstract (80 pgs.) from parent application
6. Three Sheets Formal Drawings
7. Copy of Declaration and Power of Attorney from parent application
8. Copy of Revocation of Power of Attorney and Appointment of New Agent
9. Check in the amount of \$1,244.00 for filing fee
10. Return Receipt Postcard.

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: October 19, 2001

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